



Joint Conference Committee May 2020  
Quality Management Department  
Regulatory Affairs Status Report (March and April 2020)

ITEM	DISCUSSION
<b>FACILITY REPORTED INCIDENTS (FRI)</b>	<p>During March and April 2020, LHH submitted a total of 59 FRIs to CDPH; there were also 5 anonymous complaints to CDPH (data below is as of 05/05/20). These include allegations of abuse, adverse events and other reportable issues. CDPH have initiated investigation into some cases but final determination of deficiencies has not been determined for all cases.</p> <p><b><u>March: 36 cases (32 FRI; 4 anonymous complaints)</u></b> (2 no deficiency; 30 pending outcome; 4 investigation not started)</p> <ul style="list-style-type: none"><li>• 23 allegations of abuse<ul style="list-style-type: none"><li>○ Resident to resident: 16 (1 investigation not started; 15 pending outcome)</li><li>○ Staff to resident: 4 (4 pending outcome)</li><li>○ Injury of unknown source: 3 (3 pending outcome)</li></ul></li><li>• 6 theft/fiduciary abuse<ul style="list-style-type: none"><li>○ Resident to resident: 3 (3 pending outcome)</li><li>○ Other to resident: 3 (3 pending outcome)</li></ul></li><li>• 1 adverse major injury event (1 investigation not started)</li><li>• 1 adverse drug event (1 pending outcome)</li><li>• 1 disease outbreak (1 investigation not started)</li><li>• 4 anonymous complaints (2 no deficiency; 1 investigation not started; 1 pending outcome)</li></ul> <p><b><u>April: 28 cases (27 FRI; 1 anonymous complaint)</u></b> (22 pending outcome; 6 investigation not started)</p> <ul style="list-style-type: none"><li>• 17 allegations of abuse<ul style="list-style-type: none"><li>○ Resident to resident: 8 (6 pending outcome; 2 investigation not started)</li><li>○ Staff to resident: 3 (2 pending outcome; 1 investigation not started)</li><li>○ Injury of unknown source: 6 (4 pending outcome; 2 investigation not started)</li></ul></li><li>• 8 theft/fiduciary abuse<ul style="list-style-type: none"><li>○ Other to resident: 7 (7 pending outcome)</li><li>○ Resident to Resident: 1 (1 pending outcome)</li></ul></li><li>• 1 adverse major injury event (1 pending)</li><li>• 1 disease outbreak (1 investigation not started)</li><li>• 1 anonymous complaint (1 pending outcome)</li></ul>
<b>SURVEY UPDATES</b>	<ol style="list-style-type: none"><li>1. On 4/10/20, five (5) CDPH Surveyors conducted the COVID Infection Control Focused Survey for Nursing Homes. The Infection Prevention and Control Team presented our plans and documents then the survey team went out to the neighborhoods. Surveyor activity was cut short on the neighborhoods secondary to advisement of our partners from CDC.</li></ol>



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	<p>2. On 4/23/20, one CDPH Surveyor conducted the COVID Infection Control Focused Survey for GACH. The Infection Prevention and Control Team presented our plans regarding screening staff, admissions to GACH, management of PUI and COVID+ residents, isolation and rooming procedures, PPE supplies, and staff training.</p>
<b>PLANS OF CORRECTION (POC) UPDATES/REPORTING</b>	<p>1. <b>Submitted POCs in March and April 2020:</b></p> <ul style="list-style-type: none"><li>a) 2/13/20 First Revisit Survey with 4 F-tags (F-759, F-761, F-812, and F-880); POC submitted on 4/6/20; <i>see Attachment A</i></li><li>b) 4/23/20 POC submitted on 4/6/20 was not accepted (Completion dates of POC should be during or after the survey date). POC completion dates were corrected and revised POC was submitted to CDPH on 4/24/20</li><li>c) 5/1/20 CPDH requested for Evidence of Compliance for the POC submitted on 4/24/20. Evidence of Compliance was submitted on 5/5/20</li><li>d) 5/7/20 First Revisit POC was accepted, LHH is back in substantial compliance with the CMS Conditions of Participation as of 4/24/20:<ul style="list-style-type: none"><li>o Implications:<ul style="list-style-type: none"><li>• Denial of payment for New Admissions (DPNA) was lifted as of 3/23/20</li><li>• Imposition of daily civil monetary penalties ceased as of 3/23/20</li></ul></li></ul></li></ul> <p>2. POC reporting and data submission at Nursing Quality Improvement Council (3/10/20), Performance Improvement and Patient Safety Committee (03/17/20)</p>
<b>COMPLETED SITE VISITS</b>	<ul style="list-style-type: none"><li>1. <b>Abbreviated survey (3/2/20):</b> 5 FRIs related to allegations of abuse and adverse drug event; 1 anonymous complaint</li><li>2. <b>Abbreviated survey (3/4/20):</b> 1 anonymous complaint</li><li>3. <b>Abbreviated survey (3/9/20):</b> 7 FRIs related to allegations of abuse</li><li>4. <b>Abbreviated survey (3/10/20):</b> 1 anonymous complaint</li><li>5. <b>Abbreviated survey (3/19/20):</b> 3 FRIs related to allegations of abuse</li><li>6. <b>Abbreviated survey (3/27/20):</b> 3 FRIs related to allegations of abuse and adverse drug event</li><li>7. <b>Abbreviated survey (3/30/20):</b> 1 anonymous complaint</li><li>8. <b>Abbreviated survey via remote (4/8/20):</b> 2 FRIs related to allegations of abuse and disease outbreak</li><li>9. <b>Abbreviated survey via remote (4/14/20):</b> 1 FRI related to allegations of abuse</li><li>10. <b>Abbreviated survey via remote (4/16/20):</b> 5 FRIs related to allegations of abuse and adverse major injury event</li><li>11. <b>Abbreviated survey via remote (4/21/20):</b> 5 FRIs related to allegations of abuse</li><li>12. <b>Abbreviated survey via remote (4/24/20):</b> 15 FRIs related to allegations of abuse; 1 anonymous complaint</li><li>13. <b>Abbreviated survey via remote (4/27/20):</b> 5 FRIs related allegations of abuse</li><li>14. <b>Abbreviated survey via remote (4/29/20):</b> 4 FRIs related to allegations of abuse</li></ul>



ONGOING SITE VISITS	71 FRI with documents requested; pending visit
PENDING SITE VISITS	71 FRI pending without document request or call/visit
UPCOMING SURVEYS	GACH Relicensing Survey (Re-Visit)
CONTINUOUS SURVEY READINESS AND EDUCATIONAL UPDATES	<p>Education/training for:</p> <ol style="list-style-type: none"> <li>COVID-19 Related Education, Training and In-service has been the main focus of this during the last two months, focus areas include signs and symptoms, Infection Prevention and Control, and Personal Protective Equipment use, reuse and changes.</li> </ol>



